EARLY RESPONDENTS, LATE RESPONDENTS AND NON-RESPONDENTS IN A CROSS-NATIONAL PUSH TO WEB BUSINESS SURVEY

ESRA 2021

Ahu Alanya (EORTC) Femke De Keulenaer (Ipsos)





## **EUROPEAN COMPANY SURVEY 2019**

Survey of (HR) managers and employee representatives (ER) in European establishments

- Most senior person in charge of HR in the establishment (MM)
- Official employee representative (works council/trade union)

Jointly commissioned by two European Agencies (Eurofound and Cedefop), carried out by Ipsos

Three previous editions: 2004/5, 2009, 2013

Fourth edition objectives:

- Provide evidence relevant to the agencies' stakeholders and support policies for EU competitiveness and EU initiatives
- Cover broadly the same topics as the ECS 2013 (work organisation, human resource management, direct employee participation and social dialogue) but provide more detail with regard to skills utilisation and skills strategies as well as digitalisation
- Linking these issues to business strategy and performance



## SURVEY DESIGN

- Probability-based samples of establishments with at least 10 employees, carrying out market activities
- All EU Member States, target sample sizes between 250 in Malta and 1,500 in France
- Push-to-web approach
  - Establishments contacted by telephone (screener interview)
  - Identifying manager (MM) and employee representative (ER) respondent
  - Request to administer questionnaires online
- MM questionnaire in all establishments, ER questionnaire in those establishment where an ER is present and willing to participate
- State-of-the-art procedures with regard to questionnaire translation, quality assurance, and quality monitoring



# NONRESPONSE IN ECS 2019











Non-contact and refusals to respond to screener questions (CATI interview) (+ sample step)

Refusal to give email address to receive survey invitation

Non-participation in online survey (after survey invitation, **CATI** and email reminders)





### **Invitation and reminders**

Number of reminder: 4 @reminders 1 CATI reminder

Time between @reminders: 4 working days

Timing of CATI reminder: after 2<sup>nd</sup> @reminder



# **RESPONSE RATES IN ECS 2019**





## **RESPONSE RATES**

#### Combined response rate (CATI screener and online survey)

- Response rate CATI screener: 8% and 54%
- Cooperation rate online survey: 20% to 49%



#### Overall response rate ECS 2019, by country









#### Cumulative number of completes





# NON-RESPONSE BIAS





## NON-RESPONSE BIAS IN CATI SCREENER

#### Logistic regression to predict response in the CATI screener

- Base: all management respondents that completed a screener interview
- "Drop-outs" before providing email address: 4% of screeners completed
- Estimated by country
- Predictors (from sample frames):
  - size of the establishment (or company) in terms of number of employees
  - main sector of economic activity (recoded from NACE rev. 2 categories).



#### NON-RESPONSE MODELS FOR THE CATI SCREENER





#### NON-RESPONSE MODELS FOR THE CATI SCREENER





## NON-RESPONSE BIAS IN THE ONLINE SURVEY

#### Logistic regression to predict response in the online survey

- Base: all respondents in CATI screener who provided an email address
- Estimated by country
- Predictors (information collected during screener interview):
  - size of establishment (number of employees: 10-49 empl., 50-249 empl., 250+ employees);
  - NACE sector;
  - type of establishment (single-site company, headquarters, subsidiary);
  - presence of employee representative in establishment;
  - response to PROFIT question (Did this establishment make a profit in 2018?)
  - frame size/sector information updated in screener



#### NON-RESPONSE MODELS FOR THE ONLINE SURVEY





# EARLY RESPONDENTS AND LATE RESPONDENTS





DSO

## DIFFERENCES BETWEEN EARLY AND LATE RESPONDENTS

		Before 2 <sup>nd</sup> @reminder (n=14,480)	After 2 <sup>nd</sup> @reminder, before 4 <sup>th</sup> @reminder (n=7,145)	P-value	After 4th @reminder (n=2,405)	P-value
NACE sector	Production	27%	28%	ns.	28%	ns.
	Construction	10%	11%	ns.	10%	NS.
	Services	62%	62%	ns.	63%	ns.
Establishment size	Small (10-49)	63%	62%	ns.	60%	<0.01
	Medium (50-249)	27%	28%	ns.	28%	NS.
	Large (250+)	10%	11%	ns.	12%	<0.01
Profit in 2018	Yes, made a profit	79%	79%	ns.	77%	<0.05
	No, made a loss	10%	10%	ns.	12%	<0.05
	Broke even	11%	11%	ns.	11%	NS.



# STRATEGY FOR IDENTIFYING CASES WITH POOR DATA QUALITY

#### Data quality indicators used in the calculation of overall quality score per respondent

- **Survey length** short surveys are flagged
- Missing data (% of item non-response)
- Number of consistency checks shown
- Non-response at two-digit NACE sector question (mainact2d)
- Straight-lining (no. of straight-lined question sets)
- **Speeding** (no. of sections respondent speeded)
- Implausible answers inconsistency between reported number of non-managerial employees and numbers reported in the follow-up questions about employees (e.g., questions empperm, and skills questions)
- Implausible answers out of range responses to ranking questions (e.g., the ranking question including pmstrat, training and hiratt)
- Implausible answers number of hierarchical levels reported by organizations (hiera)



# STRATEGY FOR IDENTIFYING CASES WITH POOR DATA QUALITY

		Retained completes (n=21,869)	Bad quality cases (n=161)
Survey length and	LOI (mm:ss)	27:05	16:09
speeding	Average number of sections speeded	0.08	2.3
	% Speeding in 2+ sections	1%	47%
Item non-response	% Item non-response	2%	38%
	Non-response on 2-digit NACE	12%	81%
Consistency checks	Average number of consistency checks shown	0.9	5.4
Straight-lining	Average sections straight- lined	1.7	1.6



## DIFFERENCES BETWEEN EARLY AND LATE RESPONDENTS

		<b>Before 2<sup>nd</sup></b> @reminder (n=14,480)	After 2 <sup>nd</sup> @reminder, before 4 <sup>th</sup> @reminder (n=7,145)	P-value	After 4th @reminder (n=2,405)	P-value
Survey length and speeding	LOI (mm:ss)	26:48	27:36	<0.01	26:57	ns.
	Average number of sections speeded	0.1	0.1	NS.	0.1	NS.
	% Speeding in 2+ sections	1.4%	1.7%	ns.	1.9%	ns.
Item non-response	% Item non-response	1.8%	2.3%	<0.01	2.4%	<0.01
	Non-response on 2-digit NACE	11.5%	13.2%	<0.01	13.2%	<0.05
Consistency checks	Average number of consistency checks shown	.9	1.0	<0.01	1.0	<0.01
Straight-lining	Average sections straight- lined	18%	19%	<0.01	19%	<0.01



# CONCLUSIONS





23 - © Ipsos | ESRA 2021

# **CONCLUSIONS AND QUESTIONS**

#### Non-response bias in ECS 2019

- No evidence found of large non-response bias with respect to the available predictor variables
- This does not exclude non-response bias linked to other (non-observed) characteristics of establishments

#### Early and later respondents

- Repeated reminders essential to reach target number of completes
- Minor (non-sign.) differences between early respondents and late respondents
- No evidence that repeated reminders lower degree of non-response bias
- Lower quality score for late respondents (but still acceptable quality)



# BE SURE. ACT SMARTER.



