# Employing Behavioral Insights to Entice Response to the American Community Survey

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European Survey Research Association May 16-19, 2019



### Outline

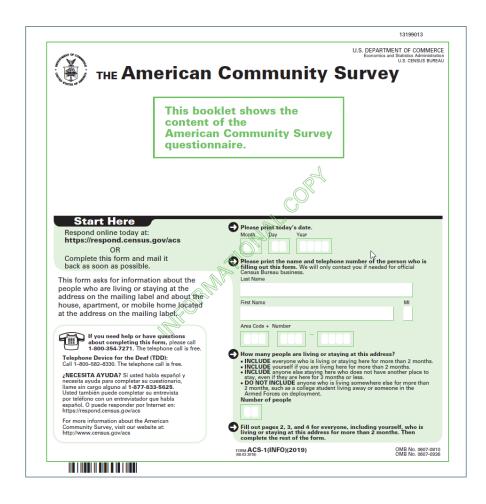
- ACS Data Collection and Mail Contact Strategy
- Objectives of ACS Mail Materials Research
- Learning from Behavior Insights
- Recent Research
  - Including a data slide
  - Revising current mail materials
  - o Developing new mail materials
- Next Steps



## The American Community Survey

## The American Community Survey is on the leading edge of survey design, continuous improvement, and data quality

- The nation's most current, reliable, and accessible data source for local statistics on critical planning topics such as age, children, veterans, commuting, education, income, and employment
- Surveys **3.5 million** addresses and informs over **\$675 billion** of Federal government spending each year
- Covers **40+ topics**, supports over **300** evidence-based Federal government uses, and produces **11 billion** estimates each year



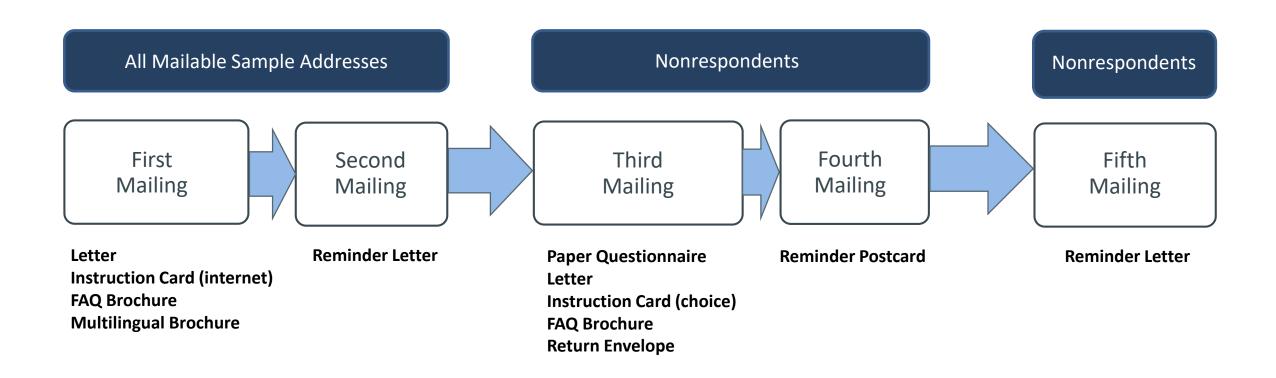


### **ACS Data Collection Process**

Online Mail Personal Visit<sup>1</sup> (Between 17 and 24 days) **Self-Response Nonresponse Follow-up** (about 6 weeks) (about 4 weeks)



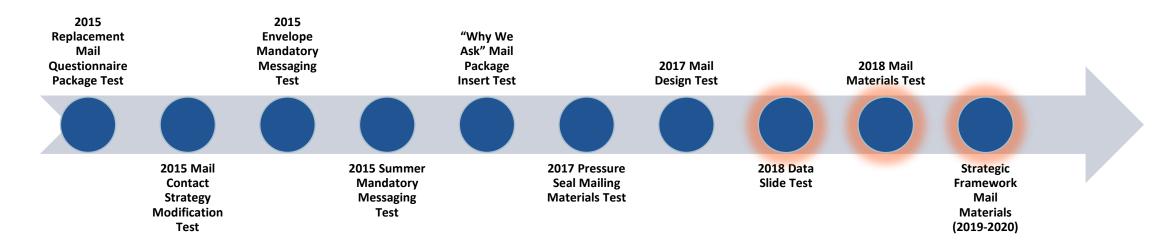
## **ACS Self-Response Mail Contact Strategy**





## ACS Mail Materials Research Objectives

- Improve self-response rates through streamlined materials
- Address respondents' and stakeholders' concerns about the prominent nature of mandatory messages on the mail materials





## Learning from Behavioral Insights

- Reviewed research in the fields of Communications, Social Psychology, Behavioral Economics, and Survey Methodology
- Combined insights to build a strategic framework for improving ACS mail materials
  - Establish credibility and trust
  - Two-way communication
  - Audience-based messaging
  - o Communicate benefits
  - o Personalization
  - o Communicate norms



### 2018 Data Slide Test

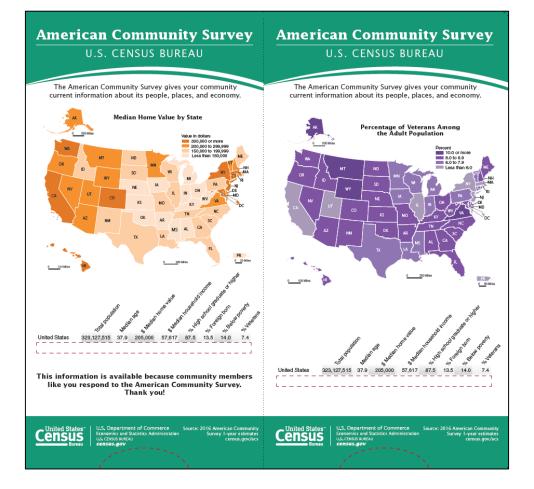
#### Establish credibility and trust:

- Attach the ACS to the Census
   Bureau brand
- This could lend legitimacy to the survey request

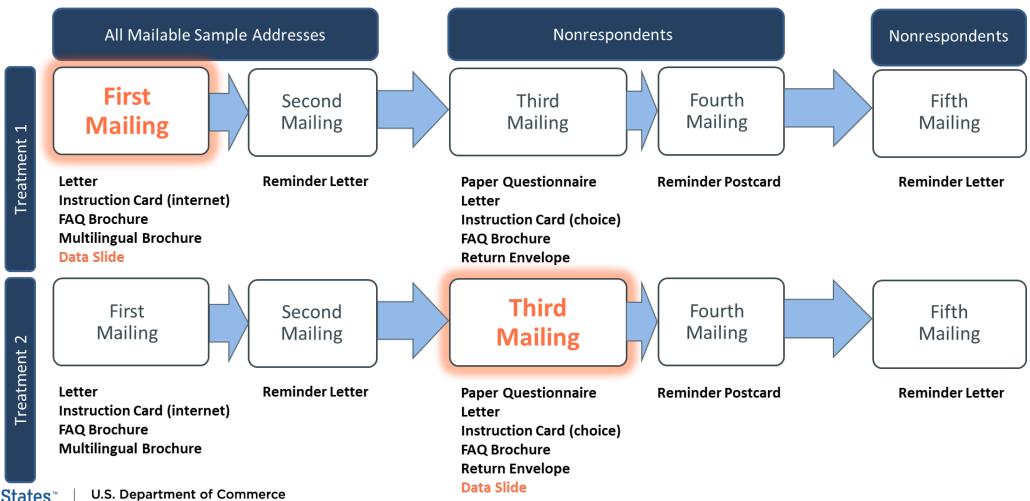
#### Communicate benefits:

- Messages that convey communitylevel benefits of ACS participation are viewed favorably
- This could overcome respondent concerns about sharing sensitive information





## Incorporating the Data Slide into Mail Materials



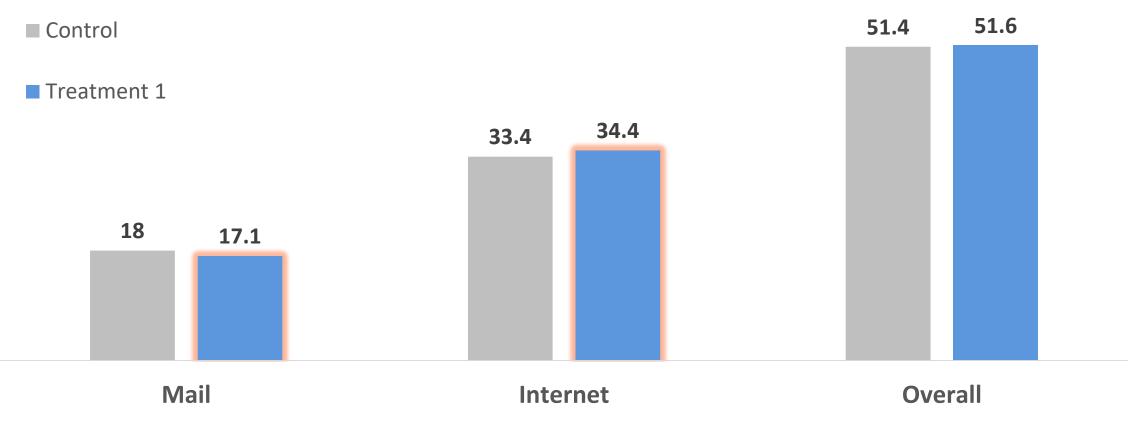


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## Self-Response Rate Results: Treatment 1

(Analysis of All Addresses Sent the Initial Mailing)







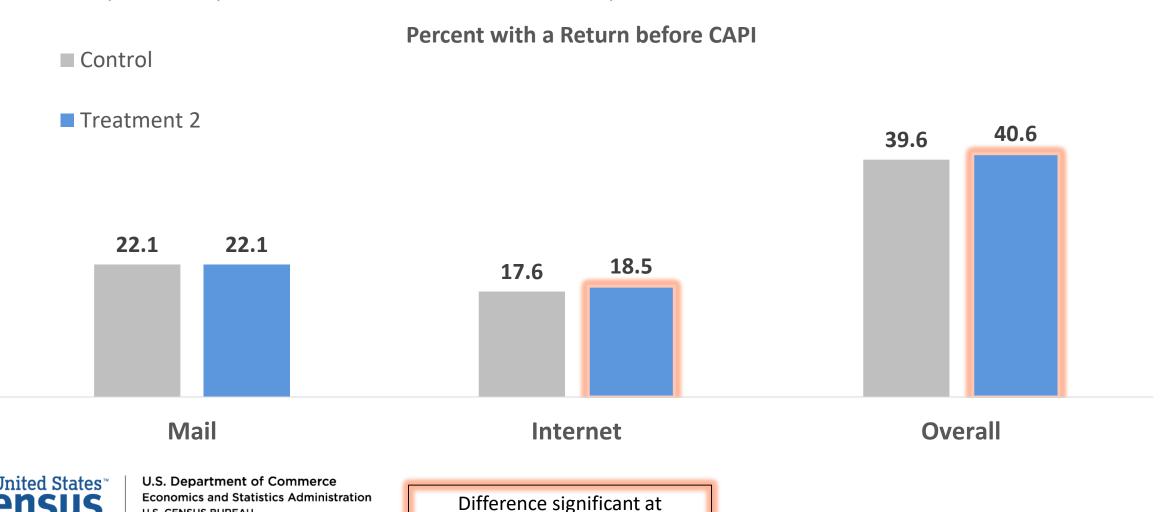
U.S. Department of Commerce Economics and Statistics Administration U.S. CENSUS BUREAU census.gov

## Self-Response Rate Results: Treatment 2

(Analysis only of Addresses Sent the Paper Questionnaire)

U.S. CENSUS BUREAU

census.gov



90% confidence level.

## 2018 Data Slide Test: Findings

- Sending data slide in mailing 1 (Treatment 1)
  - Overall self-response rates were not different
  - o Treatment 1 had a higher internet response rate and lower mail response rate
- Sending data slide in mailing 3 (Treatment 2)
  - Treatment 2 had higher self-response
  - Treatment 2 had higher internet response



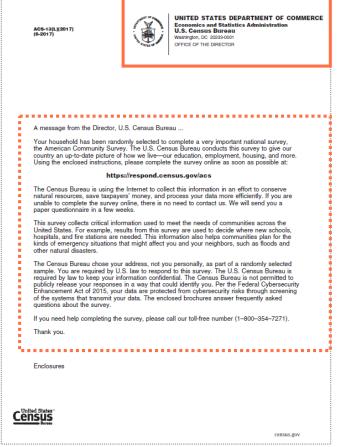
Continue to explore changes to mandatory messaging and improvements to mail materials including:

- Emphasizing the Census Bureau brand
- Using visual design principles to draw attention to key messages
- Employing plain language principles to make the letters easier to read
- Streamlining the mail materials
- Revising the questionnaire cover
- Including the text "Open Immediately" on the envelope

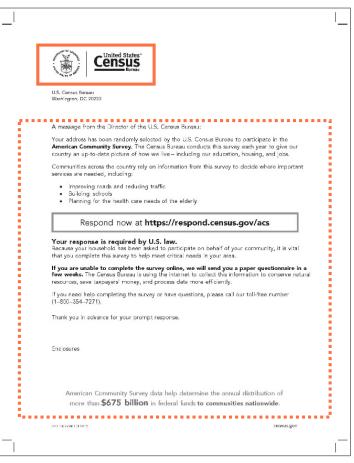


### Emphasizing the Census Bureau Brand, Using Visual Design Principles, and Employing Plain Language Principles

#### **Current ACS Production**



#### **Revised Letter**





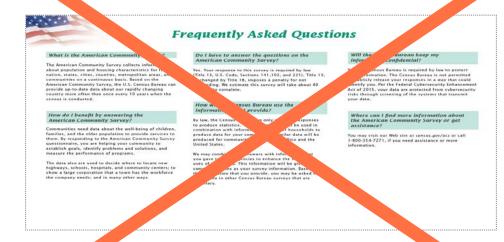
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### Streamlining the Mail Materials

#### **Instruction Card**



#### **FAQ**

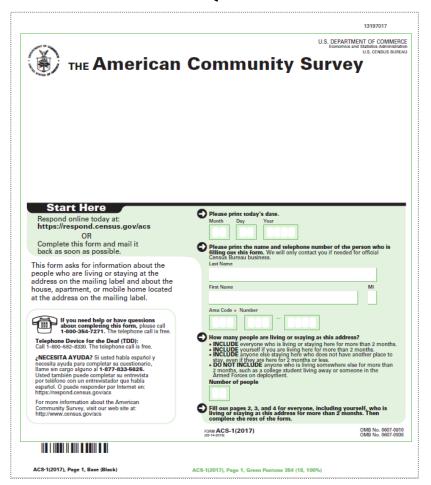




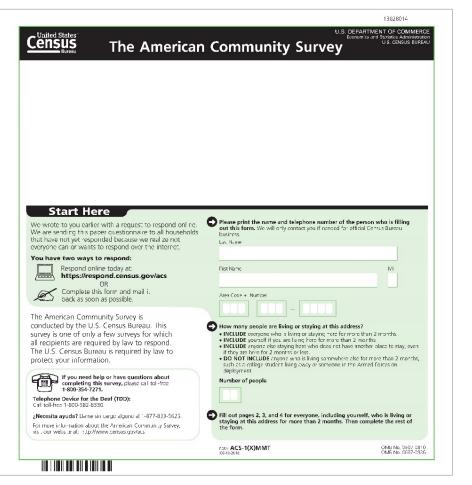
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### Revising Questionnaire Cover

#### **Production Questionnaire**



#### **Revised Questionnaire**





### Including the Text "Open Immediately" on the Envelope

#### **Production Envelope Test Envelope** FIRST CLASS MAIL FIRST CLASS MAIL POSTAGE & FEES PAID U.S. Census Bureau POSTAGE & FEES PAID U.S. Census Bureau Permit No. G-58 Permit No. G-58 U.S. Department of Commerce U.S. Department of Commerce Feonomics and Statistics Administration Economics and Statistics Administration U.S. Census Bureau U.S. Census Bureau National Processing Center National Processing Center 1201 E. 10th St. 1201 E. 10th St. Jeffersonville, IN 47132-0001 Jeffersprville, IN 47132-0001 AN EQUAL OPPORTUNITY EMPLOYER AN EQUAL OPPORTUNITY EMPLOYER ACS-46IMIXIMMT3 (3-2018) ACS-46IMIXIMMT (3-2018) **OPEN IMMEDIATELY** YOUR RESPONSE IS YOUR RESPONSE IS **REQUIRED BY LAW REQUIRED BY LAW**



## 2018 Mail Materials Test: Findings

Research Objective	Finding	
Observe impact on self-response of removing materials from some of the mailings	Removing materials from some mailings does not appear to impact self-response, but reduces the costs of the mailings.	
Observe impact on self-response of redesigning the questionnaire cover	Modifying wording on the questionnaire cover increased total self-response return rates before the fifth mailing and before the start of CAPI.	
Observe impact on self-response of modifying the wording and design of the mail materials, including adding "Open Immediately" on the outside of the Initial Mailing Envelope	The emphasizing mandatory messaging significantly increased self-response before CAPI.	
	Including "Open Immediately" had a significant increase in self-response before the questionnaire package was sent.	

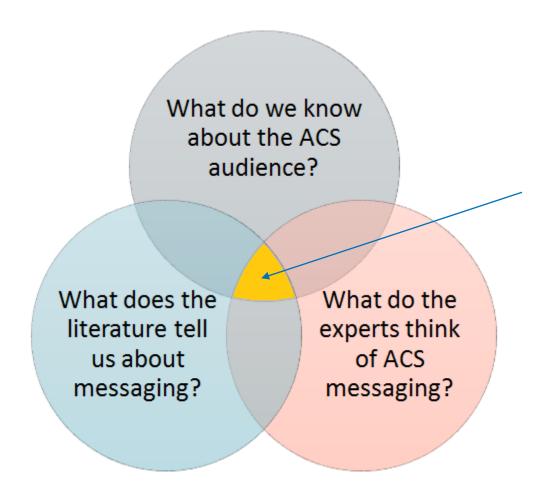


## Starting Over: Develop New Mail Materials

- Objective: Invest in a strategic framework for messaging in the ACS mailings to increase self-response.
  - 1. Develop a strategic framework for mail messaging
  - 2. Evaluate current materials
  - 3. Design new materials
  - 4. Test new materials



## Develop a Strategic Framework for Mail Messaging

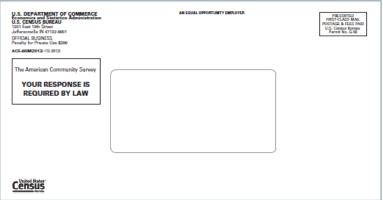


STRATEGIC FRAMEWORK

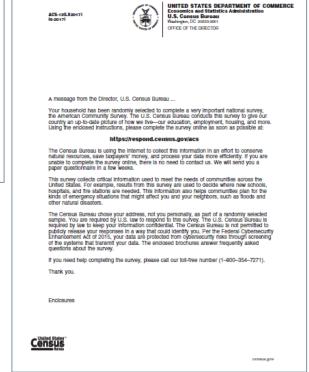


#### Lesson 1: Limit Volume of Messaging

#### Mailing 1: 129 messages across 5 items









Frequently Asked The U.S. Census Bureau is conducting In a few days you will receive Ouestions questionnaire in the mail. Because you are living in the United States, ou are required by law to respond to this survey. If you have questions about the form, please call us toll-free at 1-300-354-7271. ne preguntas sobre el cuestionari enos al 1-877-833-5625 para habis The American Community Survey is an important survey conducted by the Census Bureau. It is designed to about its people and housing. In order to make well-informed decisions, a community needs accurate and reliable information. v responding to this survey, you are Will my answers to this survey be kept esta encuesta?

Si. La Oficina del Canso de los
EE.UU. està obligada por ley a mantene confidencial su información. A la Oficio del Canto no se le parmite divulgar sus respuestas de manera que este bagar Yes. The U.S. Census Bureau Is Yes. The U.S. CRUIS Bureau is required by law to loap your hiormation confidential. The Census Bureau is not permitted to publicly release your responses in a way that could identify you. Per the Federal Cybersecurity Enhancement Act of 2015, your data are protected from of the systems that transmit your date

#### Frequently Asked Questions

#### What is the American Community Survey?

The American Community Servey collects information about population and housing characteristics for the notion, states, titles, counties, materopolitan areas, and communities on a continuous testini. Based on the American Community Servey, the U.S. Cessus Serves conjugate to the Community Servey the U.S. Cessus Serves conjugate country norse offset than once every 10 years when the cessus is conducted.

#### How do I benefit by answering the American Community Survey?

Communities need data about the well-being of children, families, and the cider population to provide services to these. By respecting to the American Community Survey qualitorealies, you are helping your community to establish goals, identify problems and solutions, and measure the enforcement of programs.

The data also are used to decide where to locate new highways, achools, bospitals, and community centers; to show a large corporation that a town has the workforce the company needs; and in many other ways.

#### Do I have to answer the questions on the

Yes. Your response to this servey is required by law (fittle 13, U.S. Code, Sections 141, 193, and 231). Title 13, as changed by Title 18, imposes a penalty for not responding. We estimate this survey will take about 40 wisules to complete.

#### How will the Centra Boreau use the information that I provide?

By law, the Centus Bureau can only use your responses to produce statistics. Your information will be used in combination with information from other households to produce data for your community. Similar data will be produced for communities across Peerto Bico and the lighted felice.

We may combine your answers with information that you gave to other agencies to enhance the statistical seas of these data. This information will be given the same protections as your servey information. Based or this information that you provide, you may be asked to participate in other Census Bareas surveys that are vehicles.

#### Will the Cenzuz Bureau keep my information confidential?

Yes. The Census Bureau is required by law to protect year information. The Census Bureau is not permitted to publicly release your neporess in a very that could identify you. For the Federal Cybernecurity Enhancement Act of 2015, your data are protected from cybernecurity risks through acreening of the systems that transmit.

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Where can I find more information above the American Community Survey or get azzistance?

You may visit our Web site of canous, guy/act or call 1-800-314-7271, If you need assistance or more



U.S. Department of Commerce Economics and Statistics Administration U.S. CENSUS BUREAU census.gov

Lesson 2: Follow Plain Language Guidelines

Mail Piece	FRE Score Interpretation	Grade Level
Mailing 1 Letter	"fairly difficult to read"	10 <sup>th</sup> -12 <sup>th</sup>
Multilingual Brochure	"difficult to read"	College
FAQ Brochure	"difficult to read"	College

#### **Flesch Reading Ease Scores of ACS Mail Materials**

Source: U.S. Census Bureau, American Community Survey Office

Schreiner, Oliver & Poehler (forthcoming)



Lesson 3: Each mailing should have a clear purpose and highlight different reasons to participate

#### Repetition across mail materials

A message from the Director, U.S. Census Bureau.

A few days ago, you should have seen randomly \$6 ways, The U.S. Census Bureau and when the color of the survey of the color of the survey of the color of the survey.

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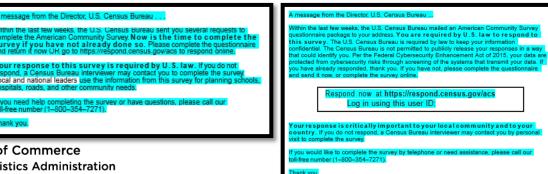
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Fifth Mailing

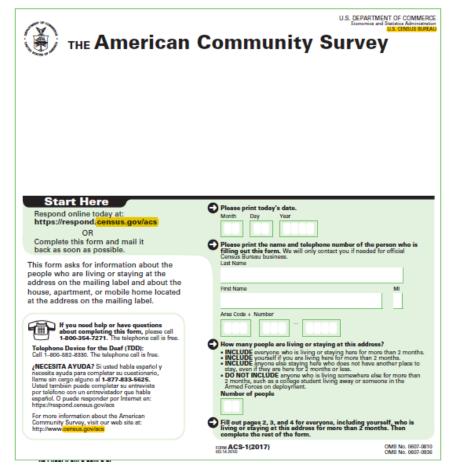
#### Fourth Mailing





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#### Lesson 4: Leverage the Census Bureau Brand



	<b>Graphic Used</b>	
	Census Bureau	OF THE ST PRICE OF THE STATE OF
Envelopes	X	
<b>Instruction Cards</b>	X	
FAQ Brochure	X	
Multilingual		
Brochure	X	
Letters	X	X
Survey Form		X
Post Card		X



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## Design New Materials

- Improve branding
- Use color and icons to draw attention
- F-pattern headings to ease readability
- Reduce amount of text (increase white space)
- Use plain language
- Focus messaging:
  - Mailing 1 = Communicate trust and legitimacy of survey
  - Mailing 2 = Communicate benefits of participation
  - Mailing 3 = Communicate options to respond online or by paper form



## New ACS Materials for Testing: Mailing 1

Letter 1 Letter 2



U.S. Consus Bureau Weshington, DC 20233-0001



#### THE AMERICAN COMMUNITY SURVEY

The American Community Survey (ACS) is the premier source for detailed population and housing information about

The ACS helps local officials, and businesses understand the

A message from the Director of the Census Bureau:

Your household has been selected to participate in the American Community Survey. The Census Bureau has conducted this survey since 2005. This survey provides an up-to-date picture of how we live - including topics such as education, employment, and housing - to help local leaders determine the needs of your

Please use the enclosed instruction card to respond now at:

#### https://respond.census.gov/acs

When you respond to this survey, you are doing your part to ensure that decisions about your community can be made using the best data available. The information that you provide is so important that your response is required by law (Title 13, U.S. Code, Sections 141, 193, and 221).

If you are unable to complete the survey online, there is no need to contact us. We will send you a paper questionnaire in a few weeks. If you need help or have questions, please call us at 1-800-354-7271.

Thank you,

Steven D. Dillingham



U.S. Census Bureau Washington, DC 20233-0001 Office of the Director



#### THE AMERICAN

COMMUNITY SURVEY

#### Did you know?

Non-profit groups use the American Community Survey to plan and build parks and playgrounds in communities across the country.

The American Community Survey helps direct more than \$675 billion in federal funding every year to communities nationwide.

You can help your community by responding today!

#### Dear Resident:

A few days ago, you should have received a notice to complete the American Community Survey online. If you have already responded, thank you. If not, please do so now.

Respond now at https://respond.census.gov/acs Log in with this User ID:

Your answers help identify local needs such as job training, highway construction, and health care services.

Your response is so important that it is required by law.

If you are unable to answer online, you will receive a paper questionnaire in about two weeks. If you need help completing the survey or have questions, please call 1-800-354-7271.

Thank you for helping your community.

Sincerely,

Steven D. Dillingham Director



U.S. Census Bureau Office of the Director

Did you know?

participate in the American

Community Survey

to this survey, you

decisions using the

best data available.

Thank you for being the voice of



About two weeks ago, you should have received a letter asking you to respond to the American Community Survey. As promised, we are sending you a survey form.

If you have already responded, thank you. If not, you now have two ways to respond - please choose the one that works best for you:



Respond today at https://respond.census.gov/acs on your computer or smartphone.

Fill out the survey form and mail it back in the postage-paid envelope.

Your response to the American Community Survey is required by law. Just as the law requires us to report for jury duty, pay taxes, and earn a license to drive, it also requires us to respond to this survey.

If you need help completing the survey or have guestions, please call 1-800-354-7271.

We look forward to receiving your response.

Steven D. Dillingham

Nota: Vea al otro lado para responder en español.

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## **Next Steps**

- Implement emphasized mail materials from 2018 testing in ACS production (January 2020)
- Due Dates Test (October 2019)
  - Include due date and messaging about benefit/consequences to 5<sup>th</sup> mailing
- Strategic Framework Materials
  - Cognitive Testing (Fall 2019)
  - Field Testing (Fall 2020)

## Thank you!

#### **ACS Research and Evaluation Reports:**

https://www.census.gov/programs-surveys/acs/library/publications-and-working-papers/research-and-evaluation.html

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## Appendix Slides



#### Experimental Treatments

#### **Modified Control (MC)**

- Removes FAQ brochure from 1<sup>st</sup> and 3<sup>rd</sup> mailing
- Removes instruction card from 3<sup>rd</sup> mailing

## Emphasized Mandatory with Revised Questionnaire (ER)

- Stronger mandatory on envelopes
- Stronger mandatory in letters
- Updated design of letters and questionnaire

## De-emphasized Mandatory with Revised Questionnaire (DR)

- Stronger mandatory on envelopes
- Softens mandatory in letters
- Uses updated design of letters and questionnaire

## De-emphasized Mandatory with Current Questionnaire (DP)

• Same as *DR* except questionnaire

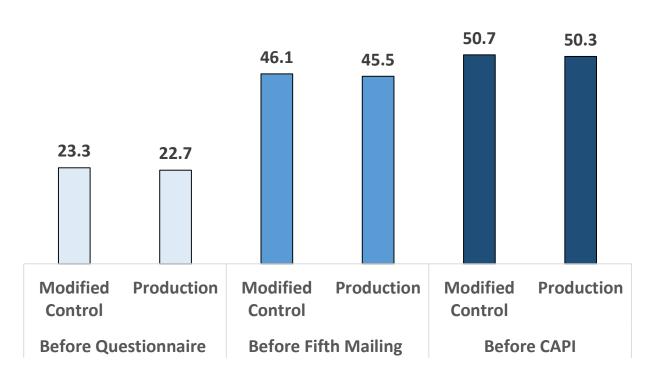
### Softer/Eliminated Mandatory (SR)

- Softens mandatory in letters
- Removes mandatory from some mailings
- Uses updated design of letters and questionnaire



#### Results: Removal of Materials

**Total Self-Response Return Rates** 



Removing materials from some mailings does not appear to impact self-response, but reduces the costs of the mailings.

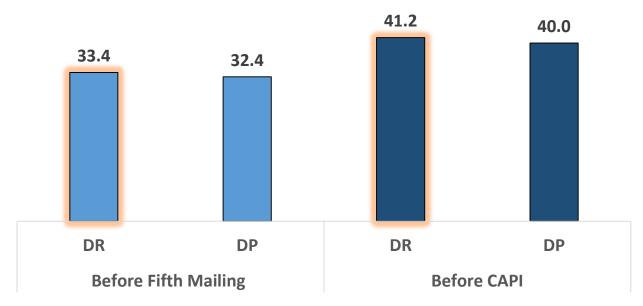


U.S. Department of Commerce Economics and Statistics Administration U.S. CENSUS BUREAU Census.gov <sup>1</sup>The **self-response return rate** is the number of mailable and deliverable sample addresses that either provided a non-blank return by mail or TQA, or a complete or sufficient partial response by internet *divided* by the total number of mailable and deliverable sample addresses *multiplied* by 100.

#### Results: Redesigned Questionnaire Cover

#### **Total Self-Response Return Rates**

Universe = Only addresses sent the paper questionnaire (mailing 3).



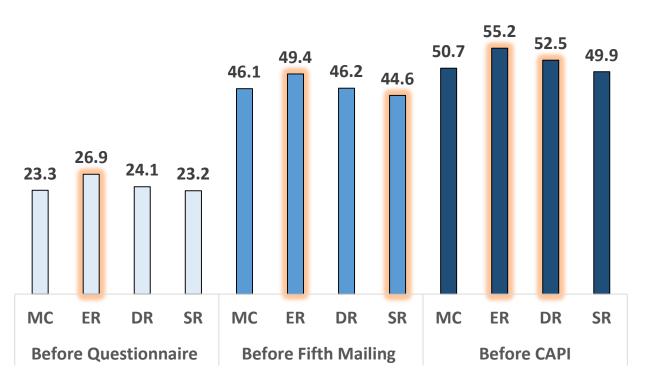
The redesigned questionnaire cover (DR) increased total self-response return rates before the fifth mailing and before the start of CAPI.



U.S. Department of Commerce Economics and Statistics Administration U.S. CENSUS BUREAU census.gov <sup>1</sup>The **self-response return rate** is the number of mailable and deliverable sample addresses that either provided a non-blank return by mail or TQA, or a complete or sufficient partial response by internet *divided* by the total number of mailable and deliverable sample addresses *multiplied* by 100.

### Results: Modifying the Design and Wording

#### **Total Self-Response Return Rates**



The emphasized mandatory treatment had higher total self-response return rates at all three time points.

The de-emphasized mandatory with revised questionnaire treatment had

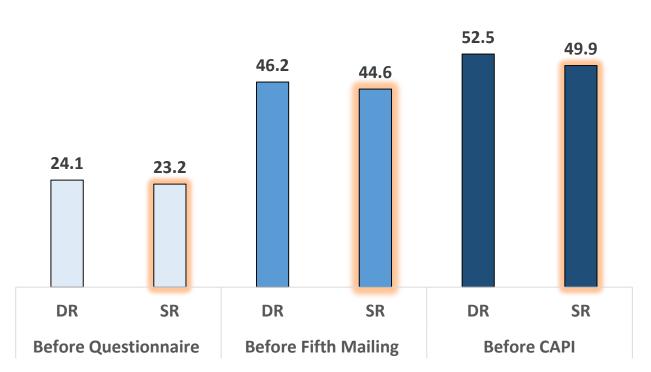
revised questionnaire treatment had higher total self-response return rates before the start of CAPI.



U.S. Department of Commerce Economics and Statistics Administration U.S. CENSUS BUREAU census.gov <sup>1</sup>The **self-response return rate** is the number of mailable and deliverable sample addresses that either provided a non-blank return by mail or TQA, or a complete or sufficient partial response by internet *divided* by the total number of mailable and deliverable sample addresses *multiplied* by 100.

### Results: Effect of Softening/Eliminating Mandatory Language

#### **Total Self-Response Return Rates**



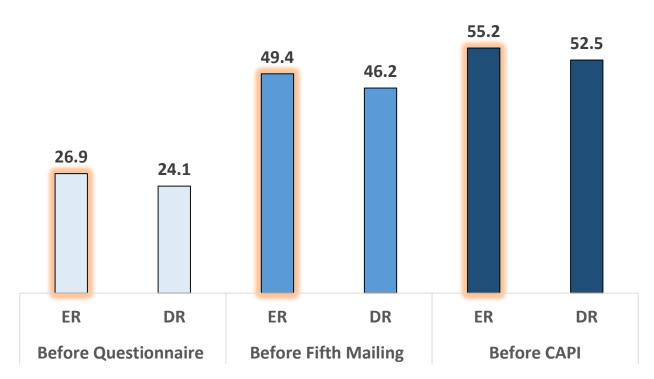
Softening/eliminating the mandatory language resulted in lower total self-response return rates at all three time points.



U.S. Department of Commerce Economics and Statistics Administration U.S. CENSUS BUREAU Census.gov <sup>1</sup>The **self-response return rate** is the number of mailable and deliverable sample addresses that either provided a non-blank return by mail or TQA, or a complete or sufficient partial response by internet *divided* by the total number of mailable and deliverable sample addresses *multiplied* by 100.

### Results: Effect of Strengthening or Emphasizing Mandatory Language





Emphasizing the mandatory language resulted in higher total self-response return rates at all three time points.

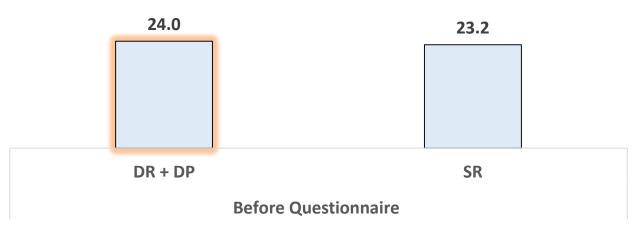


U.S. Department of Commerce Economics and Statistics Administration U.S. CENSUS BUREAU census.gov <sup>1</sup>The **self-response return rate** is the number of mailable and deliverable sample addresses that either provided a non-blank return by mail or TQA, or a complete or sufficient partial response by internet *divided* by the total number of mailable and deliverable sample addresses *multiplied* by 100.

### Results: Impact of Not Including the Phrase "Open Immediately"

**Total Self-Response Return Rates** 

Including the phrase "open immediately" significantly increased self-response return rates before the questionnaire package was sent.





U.S. Department of Commerce Economics and Statistics Administration U.S. CENSUS BUREAU census.gov <sup>1</sup>The **self-response return rate** is the number of mailable and deliverable sample addresses that either provided a non-blank return by mail or TQA, or a complete or sufficient partial response by internet *divided* by the total number of mailable and deliverable sample addresses *multiplied* by 100.